

PATENT  
ORCL.P0036C2

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

Whereby I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 10, 2004.

Vincent Tassinari

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application for:

Randall SHOUP et al.

Examiner: not yet assigned

Serial No.: 10/823,096

Group Art Unit: 2171

Filing Date: 04/12/2004

For: METHOD, ARTICLE OF MANUFACTURE,  
AND APPARATUS FOR GENERATING A  
MULTI-DIMENSIONAL RECORD  
MANAGEMENT INDEX

**TRANSMITTAL LETTER  
FOR  
SECOND PRELIMINARY AMENDMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In connection with the 04/12/2004 first preliminary amendment and the specification filed 04/12/2004, please find:

1. Return receipt post card (1 card)
2. Transmittal Letter for Second Preliminary Amendment (2 pages)
3. Credit card payment form PTO-2038 (1 sheet)
4. Second Preliminary Amendment (10 pages)

☐ The Applicant hereby claims small entity status.

The filing fee has been calculated as follows:

FOR	Number of claims - Number paid by base fee	Number of extra claims	Extra claim rate	CALCULATIONS
TOTAL CLAIMS	23 - 20 =	3	3 x \$18	\$54
INDEPENDENT CLAIMS	4 - 3 =	1	x \$86	\$86
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270	\$0
0 MONTH EXTENSION FOR REPLY:				\$0
SURCHARGE FOR FILING MISSING PARTS				\$0
			BASIC FEE	\$0
			TOTAL OF ABOVE CALCULATIONS =	\$140
Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). The Applicant hereby states that it qualifies as a small entity under 37 CFR 1.27.				\$0
ASSIGNMENT RECORDATION FEE				\$0
			TOTAL =	\$140

☒ A credit card payment form is attached.

☐ A check in the amount of \$\$\$ is attached.

The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 50-1128** referencing Docket No. ORCL.P0036CON2.

Dated: September 10, 2004

Respectfully submitted,

STATTLER JOHANSEN & ADELI LLP

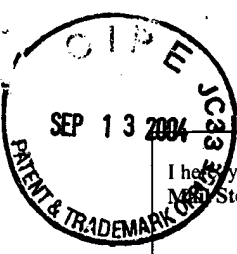
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By: 

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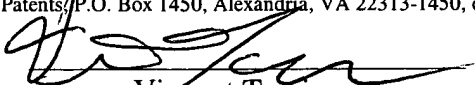
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Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Examiner:

In connection with the 04/12/2004 first preliminary amendment and the specification filed  
04/12/2004, please enter the following amendments and consider the following remarks:

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2  
of this paper.

**Remarks** begin on page 10 of this paper.

09/13/2004 SDIRETR1 00000104 10823096

01 FC:1202 54.00 OP  
02 FC:1201 86.00 OP